

61 Reg for
Refund

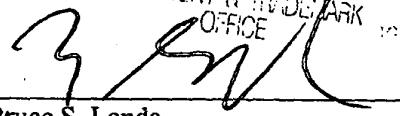
10-18-02

MAIL CERTIFICATION

OFFICE OF FINANCE
REFUND BRANCH
PATENTS

702 SEP -5 PM 2-5

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on August 26, 2002


Bruce S. Londa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Docket No.: 101215-81

APPLICANT : Steffen Panzner et al.

APPLN. NUMBER : 10/081,617

FILED : February 21, 2002

FOR : Amphoteric Liposomes and Their Use

Asst. Commissioner of Patents
Washington, D.C. 20231

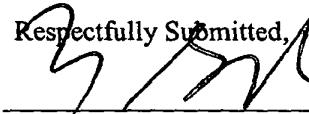
ATTENTION:
Refund Section
Accounting Division
Office of Finance

Sir:

We enclose a copy of the July 2002 Deposit Account Statement for deposit account no. 14-1263. Please note that on July 3, 2002, our deposit account was charged for \$65 for the late filing of the declaration and \$130 for the late filing of the English translation. In addition, the deposit account was charged \$40.00 for the recordal of the assignment on July 8, 2002. These charges are correct.

However, on July 25, 2002 our deposit account was credited \$65.00 for the late filing of the declaration and charged \$130.00. This is not correct. We were advised that the applicant qualifies for small entity after the three month period for requesting a partial refund of the filing fees had expired. The application does however qualify for small entity. The attached Fee

Transmittal Form filed with the declaration clearly indicates that applicant claims small entity status. Therefore, please refund the additional \$65.00 charged to our deposit account for the late filing of the declaration.

Respectfully Submitted,


Attorney for Applicant
Norris, McLaughlin & Marcus P.A.
220 East 42nd Street, 30th Floor
New York, N.Y. 10017
Telephone: (212) 808-0700
Telecopier: (212) 808-0844



Return To:

[USPTO Home Page](#)[Finance Home Page](#)**Deposit Account Statement**

Requested Statement Month: July 2002
Deposit Account Number: 141263
Name: NORRIS, MC LAUGHLIN & MARCUS
Attention: WILLIAM R. ROBINSON
Address: P.O. BOX 1018
City: SOMERVILLE
State: NJ
Zip: 08876-1018

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	1	10018560	METAL1282-WC 968		-\$280.00	\$10,282.00
07/01	1	09284701	METAL 1269 121		\$280.00	\$10,002.00
07/01	2	08976820	BEIERSDORF-4 121		\$280.00	\$9,722.00
07/01	2	10018560	METAL1282-WC 581		\$40.00	\$9,682.00
07/01	3	10111747		970	\$890.00	\$8,792.00
07/01	4	09496015	DCR 205-WCG 203		\$63.00	\$8,729.00
07/01	7	PAYMENT		701	-\$10,000.00	\$18,729.00
07/01	11	PCT/US02/16559	NEW OBJ 204	151	\$700.00	\$18,029.00
07/01	12	PCT/US02/16559	NEW OBJ 204	801	\$9.00	\$18,020.00
07/01	13	PCT/US02/16559	NEW OBJ 204	566	\$15.00	\$18,005.00
07/01	18	10120690	TESAAG1528-W 105		\$130.00	\$17,875.00
07/01	48	09423146	METAL 1275-W 120		\$320.00	\$17,555.00
07/01	54	10100839	P09930 US 105		\$130.00	\$17,425.00
07/01	67	09743394	101195-39 279		\$370.00	\$17,055.00
07/01	68	09743394	101195-39 217		\$260.00	\$16,795.00
07/01	437	10049013	METAL 1291-W 154		\$130.00	\$16,665.00
07/02	1	10179794	BEIERSDORF572 101		\$740.00	\$15,925.00
07/02	94	10179864	100726-37 / 101		\$740.00	\$15,185.00
07/02	212	10103205	HERAEUS390-W 581		\$40.00	\$15,145.00
07/02	225	10169020	102460-2 971		\$445.00	\$14,700.00
07/02	226	10169020	102460-2 254		\$65.00	\$14,635.00
07/02	227	10169020	102460-2 965		\$1,050.00	\$13,585.00
07/02	228	10169020	102460-2 969		\$140.00	\$13,445.00
07/02	229	10169020	102460-2 967		\$342.00	\$13,103.00
07/02	381	09253213	BEIERSDORF 142		\$1,280.00	\$11,823.00
07/02	382	09253213	BEIERSDORF 561		\$9.00	\$11,814.00
07/02	383	09253213	BEIERSDORF 195		\$300.00	\$11,514.00
07/02	384	09403682	BEIERSDORF 57 142		\$1,280.00	\$10,234.00

07/02 385 09403682	BEIRSDORF 57	561	\$9.00	\$10,225.00
07/02 393 09814263	BAYER 9412.6	142	\$1,280.00	\$8,945.00
07/02 394 09814263	BAYER 9412.6	561	\$9.00	\$8,936.00
07/02 395 09814263	BAYER 9412.6	195	\$300.00	\$8,636.00
07/02 408 09554385	BAYER 10,210	142	\$1,280.00	\$7,356.00
07/02 409 09554385	BAYER 10,210	561	\$9.00	\$7,347.00
07/02 410 09410354	BEIERSDORF59	142	\$1,280.00	\$6,067.00
07/02 411 09410354	BEIERSDORF59	561	\$9.00	\$6,058.00
07/02 641 10110283	101137-37	581	\$40.00	\$6,018.00
07/03 4 08955125	BAYER 9972-W	131	\$740.00	\$5,278.00
07/03 5 08955125	BAYER 9972-W	115	\$110.00	\$5,168.00
07/03 14 PAYMENT		701	-\$10,000.00	\$15,168.00
07/03 129 09631011	101141-8	215	\$55.00	\$15,113.00
07/03 135 09409197	TESA 592-WCG	131	\$740.00	\$14,373.00
07/03 195 10081617	101215-81	205	\$65.00	\$14,308.00
07/03 196 10081617	101215-81	139	\$130.00	\$14,178.00
07/03 224 29163107	LANGORIA	106	\$330.00	\$13,848.00
07/03 225 29163107	LANGORIA	169	\$900.00	\$12,948.00
07/03 252 76425125	100796-13	361	\$325.00	\$12,623.00
07/03 253 76425126	100796-11	361	\$325.00	\$12,298.00
07/03 254 76425127	100796-12	361	\$325.00	\$11,973.00
07/03 255 76425128	100796-14	361	\$325.00	\$11,648.00
07/03 402 78140996		361	\$1,300.00	\$10,348.00
07/03 503 10169268	101257-8	971	\$445.00	\$9,903.00
07/03 504 10169268	101257-8	254	\$65.00	\$9,838.00
07/05 10 10184372	101137-39	101	\$740.00	\$9,098.00
07/05 11 10184372	101137-39	102	\$84.00	\$9,014.00
07/05 12 10184372	101137-39	103	\$396.00	\$8,618.00
07/05 13 10184372	101137-39	105	\$130.00	\$8,488.00
07/05 15 10105021	102228-3	581	\$40.00	\$8,448.00
07/05 34 09423490		704	-\$460.00	\$8,908.00
07/05 200 09445065	BEIERSDORF	117	\$920.00	\$7,988.00
07/05 315 09679568	3096	242	\$640.00	\$7,348.00
07/08 1 10049009	METAL 1289-W	581	\$40.00	\$7,308.00
07/08 1 09063745	BEIERSDORF-4	116	\$400.00	\$6,908.00
07/08 10 10185523	100727- /HE	101	\$740.00	\$6,168.00
07/08 20 09988510	TESA AG 1506	116	-\$400.00	\$6,568.00
07/08 47 PAYMENT		701	-\$10,000.00	\$16,568.00
07/08 71 09400655	BAYER 10.044	122	-\$130.00	\$16,698.00
07/08 159 10019360	BEIERSDORF 7	154	\$130.00	\$16,568.00
07/08 160 10019360	BEIERSDORF 7	116	\$400.00	\$16,168.00
07/08 165 10069553	101384-19	967	\$171.00	\$15,997.00
07/08 192 10019360	BEIERSDORF 7	581	\$40.00	\$15,957.00
07/08 238 10081617	101215-81	581	\$40.00	\$15,917.00
07/09 1 10186063	EISENFUHR 20	101	\$740.00	\$15,177.00
07/09 1 09445333	TTI219-KGB	119	\$320.00	\$14,857.00
07/09 5 09581413	100718-174	119	\$320.00	\$14,537.00
07/09 10 RE36213		184	\$2,020.00	\$12,517.00
07/09 11 5412130		184	\$2,020.00	\$10,497.00

07/23 168	78146247		361	\$975.00	\$25,450.00
07/23 277	76432665	101154-9	361	\$325.00	\$25,125.00
07/23 278	76432666	101154-10	361	\$325.00	\$24,800.00
07/23 553	78146482		361	\$325.00	\$24,475.00
07/23 556	78146484		361	\$325.00	\$24,150.00
07/24 1	09719320	BAYER10,218-	115	\$110.00	\$24,040.00
07/24 7	10198315	100717-552 /	101	\$740.00	\$23,300.00
07/24 145	10198471	102460-3	201	\$370.00	\$22,930.00
07/24 146	10198472	101215-100	201	\$370.00	\$22,560.00
07/24 147	10198472	101215-100	139	\$130.00	\$22,430.00
07/24 148	10198472	101215-100	205	\$65.00	\$22,365.00
07/25 1	10081617	101215-81	205	-\$65.00	\$22,430.00
07/25 2	10081617	101215-81	105	\$130.00	\$22,300.00
07/25 9	10123625	TESAAG1526WC	581	\$40.00	\$22,260.00
07/25 26	09901979	BAYER8890.4-	115	\$110.00	\$22,150.00
07/25 27	09901979	BAYER8890.4-	126	\$180.00	\$21,970.00
07/25 29	09916006	BEIRESDORF73	115	\$110.00	\$21,860.00
07/25 36	PCT/US02/19651	102313-009	566	\$75.00	\$21,785.00
07/25 55	09154123	101769-25	115	\$110.00	\$21,675.00
07/25 56	09154123	101769-25	120	\$320.00	\$21,355.00
07/25 160	4987459		185	\$3,100.00	\$18,255.00
07/25 161	4987846		185	\$3,100.00	\$15,155.00
07/25 353	78147314		361	\$325.00	\$14,830.00
07/26 1	09656598	BEIERSDORF	117	\$920.00	\$13,910.00
07/26 16	PCT/US02/19659	102313-006	566	\$30.00	\$13,880.00
07/26 16	PAYMENT		701	-\$20,000.00	\$33,880.00
07/26 110	09595033	HERAEUS372-W	119	\$320.00	\$33,560.00
07/26 111	09595033	HERAEUS372-W	116	\$400.00	\$33,160.00
07/26 139	10105832	101769-/TESA	105	\$130.00	\$33,030.00
07/26 140	10105832	101769-/TESA	115	\$110.00	\$32,920.00
07/26 183	10135798	BAYER10,233-	105	\$130.00	\$32,790.00
07/26 273	09775331	BAYER9414.4-	142	\$1,280.00	\$31,510.00
07/26 274	09775331	BAYER9414.4-	561	\$9.00	\$31,501.00
07/26 275	09775331	BAYER9414.4-	195	\$300.00	\$31,201.00
07/26 276	09297121	BAYER 10,177	142	\$1,280.00	\$29,921.00
07/26 277	09297121	BAYER 10,177	561	\$9.00	\$29,912.00
07/26 496	PCT/US02/19656	102313-002 P	801	\$72.00	\$29,840.00
07/26 497	PCT/US02/19656	102313-002 P	566	\$75.00	\$29,765.00
07/29 19	10201411	TESA AG 1546	101	\$740.00	\$29,025.00
07/29 20	10201411	TESA AG 1546	103	\$126.00	\$28,899.00
07/29 32	10202332	100717-557/B	101	\$740.00	\$28,159.00
07/29 83	10202366	TESA AG 1547	101	\$740.00	\$27,419.00
07/29 84	10202366	TESA AG 1547	103	\$270.00	\$27,149.00
07/29 92	10202571	BAYER 10236-	101	\$740.00	\$26,409.00
07/29 160	10198471	102460-3	581	\$40.00	\$26,369.00
07/29 354	10129908	101215-87	156	\$130.00	\$26,239.00
07/29 355	10031539	BEIERSDORF 7	154	\$130.00	\$26,109.00
07/29 356	10031539	BEIERSDORF 7	117	\$920.00	\$25,189.00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$235.00

Complete if Known

Application Number	10/081,617
Filing Date	Concurrently Herewith
First Named Inventor	Steffen Panzner et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	101215-81

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																															
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 14-1263 Deposit Account Name Norris, McLaughlin & Marcus				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td>Fee Description</td> <td>Fee Paid</td> </tr> <tr> <td>105 130</td> <td>205 65</td> <td>Surcharge - late filing fee or oath</td> <td>65.00</td> </tr> <tr> <td>127 50</td> <td>227 25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139 130</td> <td>139 130</td> <td>Non - English specification</td> <td>130.00</td> </tr> <tr> <td>147 2,520</td> <td>147 2,520</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112 920*</td> <td>112 920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113 1,840*</td> <td>113 1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115 110</td> <td>215 55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116 400</td> <td>216 200</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117 920</td> <td>217 460</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118 1,440</td> <td>218 720</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128 1,960</td> <td>228 980</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119 320</td> <td>219 160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120 320</td> <td>220 160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121 280</td> <td>221 140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138 1,510</td> <td>138 1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140 110</td> <td>240 55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141 1,280</td> <td>241 640</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142 1,280</td> <td>242 640</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143 460</td> <td>243 230</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144 820</td> <td>244 310</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122 130</td> <td>122 130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123 50</td> <td>123 50</td> <td>Processing fee under 37 CFR § 1.17(q)</td> <td></td> </tr> <tr> <td>126 180</td> <td>126 180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581 40</td> <td>581 40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40.00</td> </tr> <tr> <td>146 740</td> <td>246 370</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149 740</td> <td>249 370</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>179 740</td> <td>279 370</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169 900</td> <td>169 900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> </tr> <tr> <td colspan="4">SUBTOTAL (2) \$0.00</td> <td colspan="4">SUBTOTAL (3) \$235.00</td> </tr> <tr> <td colspan="4">*or number previously paid, if greater; For Reissues, see above</td> <td colspan="4">Reduced by Basic Filing Fee Paid</td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	65.00	127 50	227 25	Surcharge - late provisional filing fee or cover sheet		139 130	139 130	Non - English specification	130.00	147 2,520	147 2,520	For filing a request for ex parte reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 400	216 200	Extension for reply within second month		117 920	217 460	Extension for reply within third month		118 1,440	218 720	Extension for reply within fourth month		128 1,960	228 980	Extension for reply within fifth month		119 320	219 160	Notice of Appeal		120 320	220 160	Filing a brief in support of an appeal		121 280	221 140	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,280	241 640	Petition to revive - unintentional		142 1,280	242 640	Utility issue fee (or reissue)		143 460	243 230	Design issue fee		144 820	244 310	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Processing fee under 37 CFR § 1.17(q)		126 180	126 180	Submission of Information Disclosure Statement		581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00	146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))		149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))		179 740	279 370	Request for Continued Examination (RCE)		169 900	169 900	Request for expedited examination of a design application		Other fee (specify) _____				SUBTOTAL (2) \$0.00				SUBTOTAL (3) \$235.00				*or number previously paid, if greater; For Reissues, see above				Reduced by Basic Filing Fee Paid			
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																																																
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																
105 130	205 65	Surcharge - late filing fee or oath	65.00																																																																																																																																																
127 50	227 25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																	
139 130	139 130	Non - English specification	130.00																																																																																																																																																
147 2,520	147 2,520	For filing a request for ex parte reexamination																																																																																																																																																	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																	
115 110	215 55	Extension for reply within first month																																																																																																																																																	
116 400	216 200	Extension for reply within second month																																																																																																																																																	
117 920	217 460	Extension for reply within third month																																																																																																																																																	
118 1,440	218 720	Extension for reply within fourth month																																																																																																																																																	
128 1,960	228 980	Extension for reply within fifth month																																																																																																																																																	
119 320	219 160	Notice of Appeal																																																																																																																																																	
120 320	220 160	Filing a brief in support of an appeal																																																																																																																																																	
121 280	221 140	Request for oral hearing																																																																																																																																																	
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																																																	
140 110	240 55	Petition to revive - unavoidable																																																																																																																																																	
141 1,280	241 640	Petition to revive - unintentional																																																																																																																																																	
142 1,280	242 640	Utility issue fee (or reissue)																																																																																																																																																	
143 460	243 230	Design issue fee																																																																																																																																																	
144 820	244 310	Plant issue fee																																																																																																																																																	
122 130	122 130	Petitions to the Commissioner																																																																																																																																																	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)																																																																																																																																																	
126 180	126 180	Submission of Information Disclosure Statement																																																																																																																																																	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																	
179 740	279 370	Request for Continued Examination (RCE)																																																																																																																																																	
169 900	169 900	Request for expedited examination of a design application																																																																																																																																																	
Other fee (specify) _____																																																																																																																																																			
SUBTOTAL (2) \$0.00				SUBTOTAL (3) \$235.00																																																																																																																																															
*or number previously paid, if greater; For Reissues, see above				Reduced by Basic Filing Fee Paid																																																																																																																																															

SUBMITTED BY

Name (Print/Type)	Bruce S. London	Registration No. (Attorney/Agent)	33,531	Telephone	212-808-0700
Signature	Complete if applicable				
	Date	June 24, 2002			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.